



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : MICHAEL CARLEY et al.  
Title : CLOSURE DEVICE AND METHODS FOR MAKING AND  
USING THEM



Docket No. : 701879.4006

MAIL STOP PATENT APPLICATION - DIVISION  
COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450

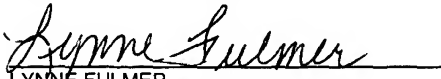
UTILITY PATENT APPLICATION TRANSMITTAL

1. Type of Application  
This new application is for a(n)  
☒ Original (non provisional)  
☐ Design  
☐ Plant
2. ☒ Applicant claims small entity status. See 37 CFR 1.27
3. ☒ Specification, including Description, Claims and Abstract (Total Pages: 46)
4. ☒ Formal Drawing(s) (35 USC 113) (Total sheets 8)
5. Oath or Declaration
  - a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed and enclosed)
    - i. ☐ Deletion of Inventors (Signed statement attached deleting inventors(s) named in the prior application.) See 37 CFR 1.63(d)(2) and 133 (b).
6. ☐ Application Data Sheet. See 37 CFR 1.76
7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if application, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ Paper

CERTIFICATE OF MAILING  
37 CFR §1.10

Date: July 9, 2003  
Express Mailing Label No.: EV 342472891 US

I hereby certify that on the dated listed above, this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service in accordance with 37 C.F.R. § 1.10 as "Express Mail Post Office to Addressee," with sufficient postage, envelope addressed to: COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450.

  
LYNNE FULMER  
DOCSOC1:139721.1  
701879-4006 J2G

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- c. ☐ Statement verifying identity of above copies
9. ☐ An assignment of the invention to \_\_\_\_\_  
☐ is attached. A separate ☐ "COVERSHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING NEW PATENT APPLICATION" or ☐ FORM PTO 1595 is also attached.  
☐ will follow.
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) (Power of Attorney by Assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement/PTO 1449 (or PTO/SB/08a)  
☐ Copies of citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claims)
16. ☐ Non-publication Request under 35 USC 122 (b)(2)(B)(i) (Applicant must attach form PTO/SB/35 or its equivalent)
17. ☐ Other

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation    ☒ Divisional    ☐ Continuation-in-part

This application is a division of Application Serial No. 10/081,726 filed February 21, 2002; which application is a continuation-in-part of Application No. 09/732,178, filed December 7, 2000, for "Closure Device and Methods for Making and Using Them," the disclosure of which is expressly incorporated by reference herein.

Prior application information:

Examiner: \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. This incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

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19. Fee Calculation: TOTAL AMOUNT OF PAYMENT: \$ \_\_\_\_\_
- A. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to Deposit Account No. 15-0665.
- ☒ Charge any additional fee required under 37 CFR 1.16 and 1.17 to Deposit Account No. 15-0665.
- B. ☐ Payment Enclosed
- ☐ Check ☐ Credit Card ☐ Money Order ☐ Other

<b>BASIC FILING FEE:</b>						\$750.00
Total Claims	4	-	20	=	1	x \$18.00 \$ 18.00
Independent Claims	1	-	3	=	1	x \$84.00 \$00.00
Multiple Dependent Claims	\$280	(if applicable)	<input type="checkbox"/>			\$ 0.00
<b>TOTAL OF ABOVE CALCULATIONS</b>						\$750.00
Reduction by 1/2 for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28.						\$375.00
<input checked="" type="checkbox"/>						
Assignment -- \$40 (if applicable)	<input type="checkbox"/>					\$0.00
<b>TOTAL FEES SUBMITTED</b>						\$375.00

20. Correspondence Address
- ☒ Customer Number. 34313

Respectfully submitted,

Dated: July 9, 2003



James W. Geriak, Registration No. 20,223

ORRICK, HERRINGTON & SUTCLIFFE LLP  
4 Park Plaza, Suite 1600  
Irvine, CA 92614-2558  
Telephone: (949) 567-6700  
Facsimile: (949) 567-6710